

The John Randolph Haynes and Dora

HAYNES
FOUNDATION

Grant and Fellowship Programs

FREQUENTLY ASKED QUESTIONS

www.haynesfoundation.org

December 22, 2023

GENERAL PROGRAM SUPPORT

Does the foundation prefer Principal Investigators (PI) who have previously received a grant from the foundation?

The foundation does not advantage nor disadvantage former grantees.

What is the foundation's indirect cost rate on awarded grants?

The foundation's indirect cost rate is 10%.

Does Haynes support basic research?

While Haynes does not exclude supporting basic research, we expect research grants to be high-impact to ensure there is a connection between the research and projected impact.

What are the eligibility requirements for Haynes programs?

Applications are welcome from colleges and universities in the Los Angeles region. For the major research grants program, we also welcome multi-university projects and are open to proposals in which the academic institution demonstrates authentic collaboration with community partners and policymakers.

With a recent reboot of the Haynes website, are past projects still listed on the site and available for reference?

Yes. The website is a useful resource to help familiarize you with topics of study previously funded.

Why is EIN/Tax ID information required?

Grants are not made to individuals, but instead, grants are made to institutions. You can obtain this information from your university development office. The EIN is required if an award is made by the Haynes Foundation to the institution.

Where can I obtain my EIN/tax ID?

The first portion of the online application refers to the university or college under whose auspices the project will be conducted. Your university will be able to locate the school's EIN for you. This is NOT your social security number or any type of personal identification

Is there any preference for focus vs. breadth in terms of geography?

There is no advantage nor disadvantage to geography if your work falls within the greater Los Angeles region.

What types of research does the Haynes Foundation support?

The Haynes Foundation supports impactful research toward the betterment of humankind in the Los Angeles region. The long-standing major research grants program is now designed to support research on governance and democracy in the Los Angeles region that will have a direct impact through connections with community and policymakers, and through exploration of relationships with philanthropy beyond the Haynes Foundation. The foundation's other grant programs (archives, faculty fellowships, and dissertation research fellowships) continue to be more broadly focused on the goal of research to benefit the Los Angeles region.

Is a PhD required or regarded more highly for the lead applicant?

Yes, the PI must be a PhD.

Is there a waiting period before resubmittals would be accepted?

There is no set time for resubmittals. But you should demonstrate the extent to which considerable thought was given to revisions.

Haynes used to require applicants to use a proposal information form; is that now integrated into the application process via the portal?

The Haynes Foundation no longer uses the proposal information forms. We have pivoted to a strictly online application process with user-friendly guidelines.

Can a single faculty member apply for two grants?

A faculty member will not be considered for two grants in the same program. However, you can apply for different types of Haynes grants.

Is there a limit on the number of grants given to a university or institution?

No.

What is the description or list of qualifications required for who can serve as Principal Investigator?

A PhD and a recognized position in the university or graduate center.

Will late proposals for Fellowships and Grants be accepted?

We will not be accepting late proposals. We are posting frequent reminders on the website about upcoming deadlines. A way to avoid getting caught at the last minute is to register an account in advance. For all programs you can register your account with the ability to revisit and modify your online application until it is submitted.

SPECIFIC PROGRAMS

MAJOR RESEARCH GRANTS

What is the access code to submit an LOI?

The access code is *democracy*.

What is the preferred citation style for the LOIs?

Any citation style that is commonly used in academic work is acceptable.

What is the difference between Planning and Research-to-Action grants?

Major Research Grants will involve extensive connections to the community and policymaking with the goal of a significant impact. Planning grants represent an opportunity to think about what a major research grant would look like.

Do research projects need to be connected to an institution of higher learning?

Yes. Haynes has been a funder of those in colleges and universities within the Los Angeles region to enhance their research. The research will be led by one or more PIs at an institution of higher learning, or Research Scientists who are at the PhD level and affiliated with an institution of higher learning.

For the Major Research Grants, would a 501(c)3 qualify to apply if working in partnership with a university center?

The application must be from a university, college, or research center affiliated with a university. A collaboration between an institution of higher learning and a 501(c)3 could be proposed and implemented.

Is funding for the large research grants limited to new research or would it also apply to expanding existing research?

The foundation is looking for research projects offering the potential for impact. That could mean a completely new project or an elaboration of an existing line of research.

Are we limited to collaborating with one researcher?

Major research grants can be led by a single researcher, a group of researchers under the leadership of a PI or co-PIs, or a multi-university or other types of collaboration. identification.

Is the possibility of a third year for Major Research Grants something that might come up during implementation?

A university can apply for up to two years for the research-to-action grant . The time for a conversation with the foundation for a possible third year of funding will be during the second year.

How does the governance and democracy initiative further the mission of the Haynes Foundation?

These areas of focus were of fundamental interest and concern to our Founders, John Randolph Haynes and Dora Haynes, a hundred years ago. The reform of government and expansion of democracy were the bedrock aims on which the Haynes Foundation was founded.

Is there a minimum dollar amount in mind for Major Research Grants?

No. We want to make sure that proposed projects with relatively small budgets are considered.

Can a planning grant support preliminary faculty summer research?

Yes.

What is expected for the LOI for the research-to-action grant projects?

LOI guidelines are the same for both the planning grants and the research-to-action grants. Tell us briefly about your project, so that the foundation can consider whether to invite a full application. All information can be found in the Application Guidelines on the foundation website.

How long will you be accepting LOIs and full proposals?

The foundation will accept LOIs and proposals (which must be invited based on the LOI) throughout the year.

Are you thinking of governance exclusively in terms of elected county and city leaders?

The foundation’s view of governance is that it is a series of structures by which decisions are made by government officials elected and appointed, embedded in a larger network of community organizations, media, and others. Please see the “overview” document about the governance and democracy initiative on our website for more details.

Will the Haynes Foundation continue to focus on applicable impactful research?

Yes. This is fundamental to our mission.

How does the new Governance and Democracy initiative differ from previous Major Research Grants?

The newest features of our major research grants program are its determined focus on governance and democracy, and the strong encouragement in proposals to connect to community and policymakers in the project development in the interest of impact.

Do you prefer that the LOI address questions individually or be presented as an overall 2-page write up?

The LOI is not meant to be a Q&A where you are answering a question at a time. Please tell us what your project is, in your own words, while using the questions as a guideline.

Will you accept multiple LOIs from the same institution?

Yes. We will accept more than one LOI from the same institution.

FACULTY FELLOWSHIPS

What is the access code to apply for a Faculty Fellowship?

The access code is *faculty*.

Are there specific instructions for the Faculty Fellowship content and format of the 2-page application narrative?

Only provide materials that are called for in the application guidelines. While a detailed line-item budget is not required, the narrative should broadly show how you will use these funds.

When applying for Faculty Fellowships, can applicants use citations in a reference list?

You only have two pages to make your case, including brief citations. We suggest that you focus your efforts on writing a clear explanation of your research, and how this fellowship would be helpful for your future research trajectory.

What information would the foundation like to see included in the institutional letters of support for Faculty Fellowships?

Letters of support for the Faculty Fellowships are to come from the academic dean or other appropriate academic administrator designated by the college or university (not the Principal Investigator) and are designed to certify your academic position within the institution and the value of your project.

Is there a preference among the reviewers for a faculty research fellowship that focuses within the 5-county LA area over projects statewide?

Yes. All of our programs focus on the L.A. region.

Are Faculty Fellowships awarded to the individual or a four-year institution?

Our grants are given to the institution on behalf of the faculty member who receives a fellowship award.

ARCHIVAL GRANTS

What is the access code to apply for an Archival Grant?

The access code is *archival*.

For Archival Grants, does the Haynes Foundation only pay for a portion of the total project?

We do not require grantees to have additional funding, but we strongly encourage applicants to seek such support.

Does the Haynes Foundation provide general operating support for Archival Grants?

No. The foundation only funds projects and fellowships, not general operating support.

Does support for sustainability and growth expansion fit within the Haynes Foundation guidelines for funding?

We do not provide general institutional support.

What are the parameters for the duration of Archival Grants?

You will be asked to propose the duration of the grant in the application.

Do the Archival Grants also require an element of how to connect with impact on community and governance?

No. The archival grants, like the fellowships, are not restricted to the governance and democracy focus. They are to be broadly concerned with research for the betterment of the people of the Los Angeles region. The archival grants, by their nature, can also address historical aspects of the L.A. region.

HAYNES LINDLEY DOCTORAL DISSERTATION RESEARCH FELLOWSHIPS

What is the access code to apply for a Doctoral Dissertation Research Fellowship?

The access code is *doctoral*.

What information would the foundation like to see included in the institutional letters of support for Doctoral Dissertation Research Fellowships?

Letters of support for the Doctoral Dissertation Research Fellowships should come from your dissertation faculty advisor to certify your progress toward the dissertation and the value of your dissertation project.

How do I submit the institutional letters of support for Doctoral Dissertation Research Fellowships?

The faculty advisor must submit their letter directly to the Foundation by emailing info@haynesfoundation.org by the application deadline.

TECHNICAL SUPPORT

When creating a new account, how do you define who is applying – organization vs. user information?

The award will always be made out to the college or university. The organization information in the online application must include the name of the college or university and EIN/Tax ID to which the grant (if awarded) will be made. You can obtain this information from your university development office. User information is the name and contact information of the individual applying for the grant. Note: If your institution has multiple individuals applying for different purposes, each should create and have their own account.

What is an access code and where can I obtain it?

An access code is used to help determine what application/LOI to generate. The access codes are:

- Faculty Fellowships: ***faculty***
- Archival Grants: ***archival***
- Doctoral Dissertation Research Fellowships: ***doctoral***
- To submit a Letter of Interest (LOI) for Major Research Grants: ***democracy***

***Send your questions and comments to:
info@haynesfoundation.org***